

Practitioner's Docket No. 019104 00004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Andrew Perry, Randall Mundt

Application No.: 10/603,740

Group No.: 2877

Filed: June 26, 2003

Examiner: Unknown

For: Method and Apparatus for In-Situ Monitoring of Plasma Etch and Deposition Processes Using a Pulsed Broadband Light Source

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

**RESPONSE TO "NOTICE TO FILE CORRECTED APPLICATION PAPERS"
 AND REQUEST FOR CORRECTED FILING RECEIPT**

1. A copy of the "NOTICE TO FILE CORRECTED APPLICATION PAPERS" mailed for this application on August 29, 2003 is attached.

2. In response to the requirements of the NOTICE applicant submits herewith:

Abstract

3. A copy of the Filing Receipt, with corrections noted in red.

Corrections: Atty. Docket No.: Please change "00001" to 00004
 In the title: Please change "broadban" to broadband.

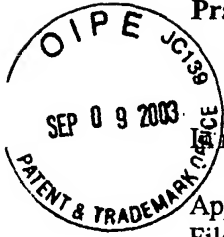
A duplicate of this paper is attached.

Date:

September 8, 2003

Martin Korn

Martin Korn
 Registration No. 28,317
 Locke Liddell & Sapp LLP
 2200 Ross Ave., Ste. 2200
 Dallas, TX 75201
 214-740 8000



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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/603,740 | 06/26/2003 | Andrew Perry | 019194 00001 |

Martin Korn
 2200 Ross Ave
 Suite 2200
 Dallas, TX 75201

CONFIRMATION NO. 9707

FORMALITIES LETTER



OC000000010791987

Date Mailed: 08/29/2003

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

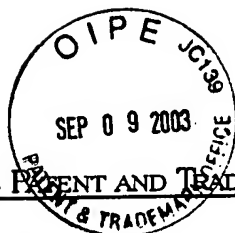
- An abstract of the technical disclosure not exceeding 150 words in length and commencing on a separate sheet in compliance with 37 CFR 1.72(b) is required. An abstract was not provided for this application.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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| APPL NO. | FILING OR 371 (c) DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|-----------------|---------------|----------------------------------|----------|----------|----------|
| 10/603,740 | 06/26/2003 | 2877 | 1590 | 019194 00001 00004 | 3 | 20 | 13 |

CONFIRMATION NO. 9707

Martin Korn
 2200 Ross Ave
 Suite 2200
 Dallas, TX 75201

FILING RECEIPT



OC000000010791986

Date Mailed: 08/29/2003

Receipt is acknowledged of this reissue Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Andrew Perry, Fremont, CA;
 Randall Mundt, Pleasanton, CA;

Domestic Priority data as claimed by applicant

This application is a REI of 09/409,842 09/30/1999 PAT 6,160,621

Foreign Applications

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

Title

Method and apparatus for in-situ monitoring of plasma etch and deposition processes using a pulsed ~~broadband~~ light source

broadband

Preliminary Class